



PART B – FEE(S) TRANSMITTAL

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26161 7590 02/08/2010

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(Signature)

(Date)

FISH & RICHARDSON P.C.
P.O. Box 1022
Minneapolis, MN 55440-1022

02/12/2010 SSANDAR1 00000019 061050 10580698

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/580,698 | 11/29/2006 | Damian Fiolka | 20228-0013US1 | 5439 |

TITLE OF INVENTION: POLARIZATION-MODULATING OPTICAL ELEMENT

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$0 | \$1510 | \$0 | 05/08/2010 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-------------------|----------|----------------|
| CALLAWAY, JADE R. | 2872 | 359-485000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish & Richardson P.C.

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Carl Zeiss SMT AG

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Oberkochen, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☐
- Issue Fee
-
- ☐
- Publication Fee (No small entity discount permitted)
-
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- 06-1050
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5. Change in Entity Status (from status indicated above)

- ☐
- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐
- b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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(Authorized Signature) _____/Sean P. Daley/

Typed or Printed Name Sean P. Daley(Date) February 11, 2010Registration No. 40,978

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Adjustment date: 02/12/2010 SSANDAR1

07/27/2009 INTEFSW 00007455 061050 10580698

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SUBSTITUTION (Rev. 12-04) Approved for use through 04/30/2007.

OMB 0651-0033

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